



**Office of Enterprise Compliance Report - Matrix**  
**February 18, 2009**

**Attachment A**

	<b>DATE OF ASSIGNMENT</b>	<b>STATUS</b>
1. Program Activities	Ongoing	<p>Chief Compliance Officer (CCO) conducted one session of New Employee Orientation, CalPERS "Working Values" in January.</p> <p>On January 16, 2009, hosted the Quarterly Teleconference for the Council of Compliance Officers. The teleconference was attended by 11 Compliance professionals from various public pension funds throughout the U.S. and Canada.</p>
2. Reporting Non-Compliance Issues	Ongoing	Ongoing.
3. Compliance Area Manager - Investments	Ongoing	<p>Compliance Area Manager (CAM), Investments is monitoring Global Equities and Fixed Income investments daily and providing weekly summary of compliance alerts to CCO. User access to automated systems monitored and monthly reconciliation reports reviewed.</p> <p>Working with CalPERS Investments Office and Legal Office on Investment policy review.</p> <p>Revising and documenting compliance monitoring processes for CAM Procedures Manual.</p>
4. Compliance Area Manager-Health	Ongoing	Finalize and publish Annual Report of Chief Compliance Officer.



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5. Compliance Area Manager- Pension	Ongoing	Working with Pension business line to assess risk of non-compliance with policies, rules, and regulations to determine compliance exposures and impact to CalPERS.